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PTO/SB/96 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Attorney Docket No. 015058-003210

### STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Darin A. Allen et al.

Application No./Patent No.: 09/868,276

Filed/Issue Date: 06/15/01

Entitled: PROTEASE INHIBITORS

Axys Pharmaceuticals, Inc.

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

**[NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Nov-19, 2001  
Date

William J. Newell  
Signature

William J. Newell  
Typed or printed name

Sr. V.P. Corp. + Bus. Dev.  
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 06/868,276 filed on June 15, 2001  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1 Darin A. Allen Date: 11/20/01

Signature: [Signature] Citizen of: United States

Inventor 2 Jason M. Hataye Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 3 Witold N. Hruzewicz Date: \_\_\_\_\_

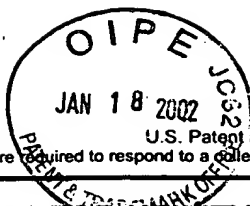
Signature: \_\_\_\_\_ Citizen of: Poland

Inventor 4 Aleksandr Kolesnikov Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: Ukraine

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
SF 1156678 v1



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
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As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/868,276 filed on June 15, 2001
- ☐ as amended on \_\_\_\_\_ (if applicable);

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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1 Darin A. Allen Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 2 Jason M. Hataye Date: 29 November 2001

Signature: Jason M. Hataye Citizen of: United States

Inventor 3 Witold N. Hruzewicz Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: Poland

Inventor 4 Aleksandr Kolesnikov Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: Ukraine

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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1 Darin A. Allen Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 2 Jason M. Hataye Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 3 Witold N. Hruzewicz Date: 11/26/01

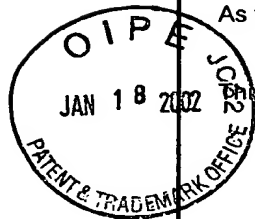
Signature: Witold N. Hruzewicz Citizen of: Poland

Inventor 4 Aleksandr Kolesnikov Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: Ukraine

☒ Additional inventors are being named on form(s) attached hereto.

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SF 1156678 v1



09868276-011802

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application
- ☒ Application No. 09/868,276 filed on June 15, 2001
- ☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1 Darin A. Allen Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 2 Jason M. Hataye Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: United States

Inventor 3 Witold N. Hruzewicz Date: \_\_\_\_\_

Signature: \_\_\_\_\_ Citizen of: Poland

Inventor 4 Aleksandr Kolesnikov Date: 9 Nov'01

Signature: A. Kolesnikov Citizen of: Ukraine U.S.

☒ Additional inventors are being named on form(s) attached hereto.

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SF 1156678 v1

Attorney Docket No.: 01-003210US  
Client Ref. No.:

PTO/SB/01A (10-00)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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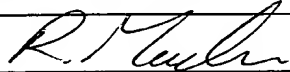
**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76) –  
ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
(Total of 3 forms are submitted.)**

**FULL NAME OF INVENTOR(S)**

Inventor 5: Richard L. Mackman

Date: 11-20-01

Signature:



Citizen of: United Kingdom

Inventor 6: Roopa Rai

Date:

Signature:

Citizen of: India

Inventor 7: Spencer R. Jeffrey

Date:

Signature:

Citizen of: United States

Inventor 8: Erik J. Verner

Date:

Signature:

Citizen of: United States

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1156678 v1

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Attorney Docket No.: 08-003210US  
Client Ref. No.:

PTO/SB/01A (10-00)

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ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
(Total of 3 forms are submitted.)**

**FULL NAME OF INVENTOR(S)**

Inventor 5: Richard L. Mackman

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

Citizen of: United Kingdom

Inventor 6: Roopa Rai

Date: Nov 13 / 2001

Signature: Roopa Rai

Citizen of: India U.S.

Inventor 7: Spencer R. Jeffrey

Date: Nov. 19, 2001

Signature: Spencer R. Jeffrey

Citizen of: United States

Inventor 8: Erik J. Verner

Date: Nov. 8 / 2001

Signature: Erik J. Verner

Citizen of: United States

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Attorney Docket No.: 00-003210US  
Client Ref. No.:

PTO/SB/01A (10-00)

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76) –  
ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
(Total of 3 forms are submitted.)**

**FULL NAME OF INVENTOR(S)**

Inventor 9: Wendy B. Young

Date: Nov 26, '01

Signature: Wendy B. Young

Citizen of: United States

Inventor 10:

Date:

Signature:

Citizen of:

Inventor 11:

Date:

Signature:

Citizen of:

Inventor 12:

Date:

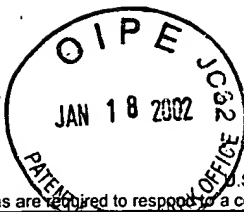
Signature:

Citizen of:

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1156678 v1

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PTO/SB/81 (02-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	09/868,276
	<b>Filing Date</b>	06/15/01
	<b>First Named Inventor</b>	Darin A. Allen
	<b>Title</b>	PROTEASE INHIBITORS
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
	<b>Attorney Docket Number</b>	015058-003210

I hereby appoint:

☒ Practitioners at Customer Number  
**OR**

20350

Place Customer  
Number Bar Code  
Label here

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

**OR**

☐ Practitioners at Customer Number

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	William J. Newell
Signature	<i>William J. Newell</i>
Date	11/19/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 form is submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
SF 1156748 v1

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